Can one use the notion of a ground state in description of a living cell?

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What this talk is not about?

•Not about development

•Not about differentiation

•Not about growth/cell division

•Not about evolution or adaptation

•Not about any irreversible process associated with Life

It is about finding a problem that is easiest for a physicist, but still interesting for a biologist

Why do we need quantum theory for this?

Because for the last several decades molecular biology was not studying the object that it purported to study -

An individual cell with all its relevant properties



Problem:

Cannot uncritically use coarse graining anymore

Coarse graining - average out irrelevant degrees of freedom to make the relevant degrees of freedom smooth and well behaved

NanoBiology

Omics

No large numbers to average over

The notion of concentration is under question



Each element might play a unique role 'in context' of larger structure

Increase in dimensionality of the mathematical model.

When taking to a logical extreme, all degrees of freedom have to be considered as a priory relevant

Cannot take a complementary part of the system (environment) and simply average over it

In any case, leaves much less room for maneuvre with the coarse graining.

Combination of nano- and -omics approaches severely limits the applicability of the coarse graining procedure.

Tradeoff between dimensionality and stability

The more of relevant degrees of freedom we expect cell to have, the more difficult it becomes to account for its stability

Property of high-dimensional spaces: Almost all volume of a high-dimensional sphere is concentrated near its surface



will be $(0.9)^N$, and it will tend to 0 as d increases

Tradeoff between dimensionality and stability Difficulty with separation between macro- and micro-scales



The higher number of relevant degrees of freedom we expect our system to have, the more chance that it is in a state close to the boundary of acceptability

Can it be addressed experimmentally (microcalorimetry)?

Landauer limit - kTln2 for error correction

What is fluctuating? Two limiting cases:

A typical number of elements 10¹¹ in a bacterial cell (Could be 10⁹)

Assume that the acceptable variation of an essential Degree Of Freedom (DOF) is 10%



Homogenous blob (one relevant DOF only)



Highly differentiated (each element represents a separate relevant DOF)

If the variation is higher than threshold 10% - error correction.

Need to dissipate at least kTln2 energy per error (Landauer's limit)

The higher number of relevant degrees of freedom we expect our system to have, the more energy it needs to dissipate to support its stability





Schroedinger ('What is life?')

How to deal with the small numbers problem?

In manifest contrast with thermodynamic systems, 'incredibly small groups of atoms, much too small to display exact statistical laws, do play a dominating role in the very orderly and lawful events within a living organism'

"... New physics should be involved ... "

"...It is nothing else than the principle of quantum theory..."

(Molecular structure)

Became a part of a standard historical narrative:

"The book promoted the idea of molecular codescript as the basis for genetic inheritance and inspired Watson and Crick to work on DNA..."



2.4 beingier Providele Texanore

Erwin Schroedinger, Francis Crick and epigenetic stability Ogryzko VV. Biol Direct. 2008 Apr 17;3:15.

• Problem of stability in biology cannot be reduced to the structure of DNA alone.

(epigenetic information)

• Role of quantum entanglement in stabilizing the dynamic state of the cell:

Acknowledgement of entanglement can help the problem of stability by reducing the dimensionality (via non-classical correlations between fluctuations)

In hindsight, the notion of quantum discord could be better?

In this talk:

An alternative avenue of how quantum theory could be involved

Main question: Stability of a complex macroscopic object

A: In the spirit of condensed matter physics: « Notion of ground state and new physical field (effective field) due to the coordinated enzymatic catalysis. »

Importantly: 1. The proposed field is not a fundamental field, but an approximation to EM. 2. We ask about stability of biological order only, but as an independent bonus get some insight into its origin as well

Plan:

Why do we need a notion of a ground state?
What are the forces responsible for its stability?
Implications for biology



What do we need from this notion?

1. 'Ideal' ordered state that does not really exist

But it is relevant, because

2. The 'real' state is in a sufficiently close neighborhood to this state, maintained by the reaction forces

3. Applicability of the 'ground state' notion is time scale-dependent:





<u>Conversely</u>: Systems that are percieved as non-equilibrium, could be treated as in equilibrium at a sufficiently short time scale

i.e. the notion of ground state applies to metastable cases (e.g., biological systems) as well.

Ground state for Philosophers



Ground state for Philosophers

Ideal Platonic form 'perfect' (exists in the 'ideal world' of ordered state Harmonic 'reaction' mathematical ideas) forces Thermal environment $F = \partial H / \partial q$ Real ordered Its imperfect Energy realization state Disorder (perturbed) (observed in the real world) Ground state More heat Configuration space Disordered state (Forces of order overcome by the forces of disorder)

Technical advantages of starting with the notion of ground state:

- <u>reversibility,</u>
- equilibrium,
- <u>many constraints (e.g., equipartition of energy)</u> - therefore, easier to describe and understand

A very common first step to approach many physical problems:

Ordered states in condensed matter theory

Molecular structure





But Life is also kind of condensed matter!

Potential strategy to understand biological order



Multitude of phenomena in need of explanation:



- Evolution,
- Development
- Morphogenesis
- Adaptation,
- Responsiveness,

Dissipative, Irreversible dynamics, Open systems

After understanding the ground state G, expand the solution to other biological phenomena, associated with irreversibility, open character etc... Unlike in condensed matter physics

Where ground states are more or less unique (modulo a symmetry transformation)

In biology:

One should expect astronomical variety of different ground states, corresponding to different 'life forms'

Life on Earth corresponds to only a minute sampling of this variety of potential forms

Understanding Life involves a healthy dose of historical reasoning (evolutionary thinking)



If it is so convenient,

Why the notion of ground state is not used in biology?

Clarification







Nuclear pore

Nucleosome

Ribosome

In fact, it is widely used in structural biology

But, 1) to understand what the structure is for: (question of 'design' or 'meaning'- specific for biology)

To answer this question - need to involve much larger evolutionary scales:

- Put the molecular structure in the context of the organism
- Consider populations of organisms
- Consider many generations

At this scale:

- Have to give up reversible equilibrium physics
- The notion of ground state becomes useless

Ground state in biology

But, 2)

Common wisdom:

Maybe OK for description of structure of macromolecules or macromolecular complexes (ribosomes, nucleosomes etc)

but

Certainly not OK to describe organization of a living cell, which is a dynamic system far from equilibrium

whereas equilibrium is equated with death

Why a cell is a dynamic system far from equilibrium?

Because of the enzymatic activity, which transforms one configurational state of cell to another one



Which is associated with physical irreversibility

Even if we can take a sufficiently short time scale, where the configuration states of the whole cell are stable and thus the ground state can be used, the description of enzymatic transitions will require longer time scales, and the notion of ground state is useless



Configurational states of cell

What we propose? Keep the picture of intracellular dynamics as hopping between metastable states

Classical view



Metastable states correspond to configuration states of cell

Transitions correspond to enzymatic catalysis, diffusion etc thermally activated



State 1 ↔ State 2 Enzyme Diffusion What is metastable state?

Proposed view



Metastable states are combinations of configuration states

The description of a metastable state includes transitions via enzymatic catalysis, diffusion etc

But reconsider what corresponds to a metastable state!

What is necessary for the new view?



Metastable states are combinations of configuration states

The description of a metastable state includes transitions via enzymatic catalysis, diffusion etc

Reconsider physics of catalysis in vivo The time-scale separation: $\tau_c < < \tau_e$

 au_c - characteristic time of catalysis

 au_c - characteristic time of exchange with outside environment

It is all about time scales and their interplay Implications for the forces holding the order together

Need to reconsider physics of enzymatic catalysis

In vitro: activation energy = thermal energy



 $k = \frac{k_{\rm B}T}{N} {\rm e}^{-\frac{\Delta G^{\ddagger}}{RT}}$

Thermal energy (Eyring-Polanyi)

In vivo: activation energy ≠ thermal energy?

Clarification:

Need to reconsider physics of catalysis:

Do not need to change fundamental physics

(it is always Electromagnetism + QM)

But choose more appropriate <u>approximation</u> to the 'from the first principles description', more applicable for the in vivo situation:

The time-scale separation:

 $\tau_c < < \tau_e$

 au_c - characteristic time of catalysis au_c - characteristic time of exchange with environment

The familiar intra- and inter- molecular forces that operate in the cell, are also approximations to the 'from the first principles' (Electromagnetism + QM) description

They are convenient 'rules of thumb' that work well in particular situations (e.g., in vitro)

We need different 'rules of thumb' for in vivo

'Chess rules' versus 'chess strategy and tactics'

Initial setup

Basic moves

Etc...

Values of figures and positions

Fork, pin, sacrifices...

Opening, middlegame, endgame...

Unlike the fundamental rules, the 'rules of thumb' are not absolute and could be in some curcumstances counterproductive



Bishop sacrifice by moving the white bishop on f5 to g6 with check. The only way for black to get out of check is to take the checking bishop with black's pawn on h7.

White's queen retakes the pawn now on g6 producing checkmate.

1. Bg6+ h7xg6 2. Qxg6 #

> As a rule of thumb, a bishop should not be exchanged for a pawn, but there are circumstantial exceptions

Summary so far:

Cell in a ground state, or sufficiently close to it



The main question is the nature of the forces that hold the cell close enough to a ground state. Is enzymatic catalysis involved?

1

Interplay between different time scales. Catalytic force

Example of interplay between two time scales: Molecular Hydrogen Ion H₂⁺

 $(| \circ \circ \rangle + | \circ \circ \rangle)/\sqrt{2}$

Born-Oppenheimer approximation

 $\Psi_{total} = (\psi_{electronic})X(\psi_{nuclear})$



The 'attraction' part of ' the potential surface

Two degrees of freedom: fast 'e' - position of e (near p1 or p2), and slow 'p' - distance between p1 and p2.

Efficient exchange of *e* is facilitated by a particular value of *p* and at the same time leads to lowering of energy

Quite general interaction mechanism: electrostatic, hydrogene bond etc.

In Biology

There is an unprecedented range of different time scales

Could we use the same logics of the time scale interplay one level higher, e.g., to description of catalysis in vivo?

• What does it mean?

• Why could it be interesting for biologists?

Modest proposal

'Catalytic Force'

New 'physical force' in vivo





'e' - Substrate or Product (S/P); 'p' - configuration of the rest of the cell (R)

 $|+\rangle = (|Cell_{S}\rangle + |Cell_{P}\rangle)/\sqrt{2}$ $|-\rangle = (|Cell_{S}\rangle - |Cell_{P}\rangle)/\sqrt{2}$

Assume that the value of coherence gap depends on the state of R

Conclusion: a backaction (recoil) force that acts on the rest of the cell.

There should be a physical force $F = \partial H / \partial q$ that adjusts the rest of the cell towards a configuration that facilitates the enzymatic act!

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Physical implications

• Additional physical force, contributing into stability of an ordered state of the cell in vivo

• Not a fundamental force, but a derivative of electromagnetism and quantum principles - in the spirit of effective field theory (a la hydrogen bond, covalent bond, etc)



Configuration space

Biological implications (optimization without natural selection)

Although not a binary interaction Manifestations in 3D space:

 $E \\ S \leftrightarrow P$



Attraction between enzyme and its substrate/product

 $E_1 \quad E_2$ $S \leftrightarrow I \leftrightarrow P$



Attraction between enzymes via intermediate

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Attraction between enzymes via intermediate

Physical justification of self-organization principle

Vs

Molecular Biology and NeoDarwinism: Optimization via natural selection of replicators



Function is a consequence of structure Self-organization: Optimization without natural selection of replicators





Bénard convection cells

Dynamic flow organizes system to ensure 'optimal performance'

Can help to deal with the challenge of 'irreducible complexity'

But!

Is life a dissipative structure?

I. Prigogine: Mathematical theory of dissipative structures requires large numbers (to satisfy the criterium of local equilibrium)

But physics of Life is Nanophysics:

Number of free protons in E.coli - 5 per cell: Volume of one cell 0.88um3 At pH 7, [H+] = 10(-7)M, or 6X10(-16)/L, or 5 per cell

Nanocells of Micoplasma Acholeplasma laidlawii, (0.2microm) Have volume 0.008 um3, therefore have 0.05 free proton per cell





How the chemiosomotic hypothesis of Mitchell is possible? Can proton gradient serve as a source of thermodynamic force?

Problem:

1. The notion of self-organization is attractive,

but

2. Its current theoretical justification - statistical mechanics does not apply to the single cell level

Solution?

Justification of self-organization on new – quantum theoretical - principles

Summary so far:

Cell in a ground state, or sufficiently close to it



The main question is the nature of the forces that hold the cell close enough to a ground state.

Interplay between different time scales.

Catalytic force:

• Additional physical force, contributing into stability of an ordered state of the cell in vivo

• Has intriguing 'self-organizing' property. (optimization without natural selection of replicators)

Open questions:

• How Quantum Theory could remain relevant at the larger that atomic time scales?

•How enzymatic activities can be compatible with the stability of the ground state?

• Would the idea of 'catalytic force' work for other than a toy model of 'reversible enzymatic act'?

• How strong the catalytic force could be?

1. How Quantum Theory could remain relevant at the larger that atomic time scales?

What about decoherence, responsible for the 'quantum to classical transition?'



Environment acts as an observer that distinguishes between dead and alive cat, effectively destroying macroscopic superposition

Main argument:

• Decoherence mechanism works well for 'generic environment' i.e., apply to molecular structure in vitro

But

<u>In vivo</u> is not a generic environment, a priori all bets are off





In vitro vs in vivo:

- Small numbers of many components
- Highly structured
- Molecular crowding



Most important:

Presence of catalytic activity

What are the preferred states of cell?

$$\rho^{c}(t_{0}) = Tr_{e} |\Psi_{EC}\rangle\langle\Psi_{EC}| = \Sigma\alpha_{i}\alpha^{*}_{j}\langle e_{i} | e_{j}\rangle |c_{i}\rangle\langle c_{j}|$$

$$decoherence \downarrow Iocalization in configuration space?$$

$$\rho^{c}(t) = \Sigma\alpha^{2}_{i} |c_{i}\rangle\langle c_{i}| - Configuration space?$$

$$(With all atomic positions specified)$$

Because of the catalytic activity, preferred states $|\mathbf{c}_i\rangle\langle\mathbf{c}_i|$ of the cell are not 'points in configuration space'



2. How enzymatic activities can be compatible with the stability of the ground state?



The job of enzymes is to lower kinetic barriers, not to erect them!

"What is the mechanism of <u>negative catalysis</u>?..." L. Brillouin 'Life, Thermodynamics, and Cybernetics'. 1949



Tentative answer

Preferred states are separated by kinetic barriers, and enzymes contribute into the stability of preferred states *Can the idea of catalytic force be taken seriously?* •*How Quantum Theory could remain relevant at the*

larger that atomic time scales?

What about decoherence, responsible for the 'quantum to classical transition'?

• We used the toy model of 'reversible enzymatic act', which is not trivial for other than chiral molecules



Can, generally, an enzymatic act be described in this way, - instead of the more traditional 'thermally activated barrier crossing'?

• How strong the catalytic force could be?

Can it be comparable with the thermal energy?

Can the idea of catalytic force be taken seriously?

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Justification of ' $\tau_c < < \tau_e$ ' and its consequences

• Order in condensed matter is stable because the interactions inside the system are stronger than interactions between the system and its environment

T

• Reformulate in terms of time scales: The time scale of processes inside the system τ_c is much faster than the time scale of exchange with environment τ_e

• Consider enzymatic catalysis as part of the internal processes

• Activation energy is confined to cell (because $\tau_c < < \tau_{e'}$ and energy is conserved on τ_c)

• Apply the principle of energy minimum - on the larger time scale τ_e the cell will tend toward the state where activation energy is minimized (assuming everything else being equal)

L

• A new 'force', which together with other forces will contribute to the stability of biological order

L

• Reinterpretation - lower activation energy implies more efficient catalytic processes - an optimization without natural selection. Meaningful order for free!

Conditions for the time-scale separation:

Catalysis $\tau_c < < \tau_e$ Exchange with environment

More general than chiral states



Describe catalytic events as changes in the state of the whole cell

1. $E_{CS} = E_{CP}$ Energy compensation at the level of whole cell

The energy difference between substrate and product is taken care of by extending description to the whole cell.



Requirements for energy conservation on scale τ_c seem to be very stringent

Conditions for $\tau_c < < \tau_e$ seem to be very stringent

Optimistic perspective:

On other hand, they can serve as the new strong constraints for systems biology!

For every enzymatic act (every bi-partition of the cell to a target molecule and microenvironment environment) - we have such a requirement. 10¹¹ new constraints!

But how physically plausible such a state can be?

Back to the notion of ground state:

Q: How such 'fine-tuning' of the microenvironment is physically possible if a cell is a 'wet and warm' system?

A: Real cell does not need to be in such a fine-tuned state Because the condition $\tau_c < < \tau_e$ describes a ground state!



Catalytic forces as a reaction forces responsible for the stability of the ground state, where all works 'perfectly well'

Can the idea of catalytic force be taken seriously?

• How Quantum Theory could remain relevant at the larger that atomic time scales?

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Force to recon with



<u>Some common binary interactions in cell:</u> Dipole-dipole ~ 4kT Hydrogen bonds ~ 5-19kT Covalent bonds ~ 100 -150kT

<u>New force</u>

Estimate:

Typical enzymatic rate enhancement is 10¹⁰ - 10¹⁵, which corresponds to 23kT - 34.5kT lowering of energy of TS

Decceleration of transition rate 100 times corresponds to increasing of energy of perturbed state 4.6kT

Could be comparable in strength to weak interactions

Did not take into account that one perturbation can affect many transitions

Summary so far:

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Interplay between different time scales.

Catalytic force:

• Additional physical force, contributing into stability of an ordered state of the cell in vivo

• Has intriguing 'self-organizing' property. (optimization without natural selection of replicators)

Catalysis could act against decoherenceThe energy could be comparable to thermal energy

More questions:

1. Condition $\tau_c < < \tau_e$:

But the enzymatic rates (and thus time scales) vary widely

No answer at the moment

2. So far, we were describing a ground state of cell or a state close to it.

How to move on to description of irreversible processes, such as growth and reproduction?

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How they do it in physics



Ground state as a fluctuating state

Every consumable substrate can be reversibly generated in it with a finite amplitude

 $|G\rangle = \alpha_1 |\psi_1\rangle + \alpha_2 |\psi_2\rangle + \alpha_3 |\psi_3\rangle + \alpha_4 |\psi_4\rangle \dots$



Nano-system, reversibility, Fluctuation Theorem

$$\frac{\Pr(\overline{\Sigma}_t = A)}{\Pr(\overline{\Sigma}_t = -A)} = e^{At}.$$





Plan:

Why we need a notion of a ground state?
What are the forces responsible for its stability?
Implications for biology

A new perspective on natural selection

Darwin:

The spectrum of variations does not depend on the selection conditions

Quantum:

The spectrum of variations (the sample space) depends on the selection conditions

Ogryzko V (1997) BioSystems, 43:83-95, 'A Quantum-theoretical approach to the phenomenon of directed mutations in bacteria'

Ogryzko (2008) NeuroQuantology 'On two quantum approaches to adaptive mutations in bacteria'



Adaptation via state vector reduction



Adaptation that happens via selection of a 'fit' variant

But the spectrum of variants to choose from depends on selection setup! Related to Projection Postulate in Quantum Mechanics

Thank you for your attention! For more details, read:

Erwin Schroedinger, Francis Crick and epigenetic stability http://www.biology-direct.com/content/3/1/15

Quantum information processing at the cellular level. Euclidean approach (arXiv:0906.4279)

A quantum-theoretical approach to the phenomenon of directed mutations in bacteria (arXiv:q-bio/0701050)

Origin of adaptive mutants: a quantum measurement? (arXiv:0704.0034)

Quantum approach to adaptive mutations. Didactic introduction (arXiv:0802.2271)

On two quantum approaches to adaptive mutations in bacteria (NeuroQuantology, Vol 7, No 4.)

Use of high throughput sequencing to observe genome dynamics at a single cell level (Proc Natl Acad Sci U S A. 2009;106(49):20830-5)